

**ANNEX A**  
**GOVERNMENT PROCUREMENT POLICY BOARD**  
**Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form**

Name of Agency: PHILIPPINE CHILDREN'S MEDICAL CENTER  
Date of Self Assessment: MARCH 29, 2021

Name of Evaluator: ADHOC  
Position: ADHOC

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and Subindicators	Supporting Information/Documentation (Not to be Included in the Evaluation)
<b>PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK</b>					
<b>Indicator 1. Competitive Bidding as Default Method of Procurement</b>					
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	74.11%	1.00		PMRs
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	35.82%	1.00		PMRs
<b>Indicator 2. Limited Use of Alternative Methods of Procurement</b>					
2.a	Percentage of shopping contracts in terms of amount of total procurement	3.86%	2.00		PMRs
2.b	Percentage of negotiated contracts in terms of amount of total procurement	19.82%	0.00		PMRs
2.c	Percentage of direct contracting in terms of amount of total procurement	2.21%	2.00		PMRs
2.d	Percentage of repeat order contracts in terms of amount of total procurement	0.00%	3.00		PMRs
2.e	Compliance with Repeat Order procedures	n/a	n/a		Procurement documents relative to conduct of Repeat Order
2.f	Compliance with Limited Source Bidding procedures	n/a	n/a		Procurement documents relative to conduct of Limited Source Bidding
<b>Indicator 3. Competitiveness of the Bidding Process</b>					
3.a	Average number of entities who acquired bidding documents	10.51	3.00		Agency records and/or PhilGEPS records
3.b	Average number of bidders who submitted bids	10.03	3.00		Abstract of Bids or other agency records
3.c	Average number of bidders who passed eligibility stage	9.99	3.00		Abstract of Bids or other agency records
3.d	Sufficiency of period to prepare bids	Fully Compliant	3.00		Agency records and/or PhilGEPS records
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00		Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
		<b>Average I</b>	<b>2.18</b>		
<b>PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY</b>					
<b>Indicator 4. Presence of Procurement Organizations</b>					
4.a	Creation of Bids and Awards Committee(s)	Fully Compliant	3.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
4.b	Presence of a BAC Secretariat or Procurement Unit	Fully Compliant	3.00		Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
<b>Indicator 5. Procurement Planning and Implementation</b>					
5.a	An approved APP that includes all types of procurement	Compliant	3.00		Copy of APP and its supplements (if any)
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Fully Compliant	3.00		APP, APP-CSE, PMR
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Compliant	3.00		ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity
<b>Indicator 6. Use of Government Electronic Procurement System</b>					
6.a	Percentage of bid opportunities posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records

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<b>Indicator 7. System for Disseminating and Monitoring Procurement Information</b>					
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Fully Compliant	3.00		Identify specific procurement-related portion in the agency website and specific website links
7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Fully Compliant	3.00		Copy of PMR and received copy that it was submitted to GPPB
		<b>Average II</b>	<b>3.00</b>		
<b>PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES</b>					
<b>Indicator 8. Efficiency of Procurement Processes</b>					
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	89.07%	3.00		APP (including Supplemental amendments, if any) and PMRs
8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	42.63%	0.00		APP(including Supplemental amendments, if any)and PMRs
8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Fully Compliant	3.00		Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services  Contracts with amendments and variations to order amount to 10% or less
<b>Indicator 9. Compliance with Procurement Timeframes</b>					
9.a	Percentage of contracts awarded within prescribed period of action to procure goods	99.37%	2.00		PMRs
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	100.00%	3.00		PMRs
9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	100.00%	3.00		PMRs
<b>Indicator 10. Capacity Building for Government Personnel and Private Sector Participants</b>					
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Fully Compliant	3.00		Samples of forms used to evaluating procurement performance on top of or incorporated within the regular <u>assessment for Procurement Personnel</u>
10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	100.00%	3.00		Ask for copies of Office Orders, training modules, list of participants, schedules of <u>actual training conducted</u>
10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Compliant	3.00		Ask for copies of documentation of activities for bidders
<b>Indicator 11. Management of Procurement and Contract Management Records</b>					
11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	3.00		Verify actual procurement records and time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Fully Compliant	3.00		Verify actual contract management records and time it took to retrieve records should be no more than two hours
<b>Indicator 12. Contract Management Procedures</b>					
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Fully Compliant	3.00		Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
12.b	Timely Payment of Procurement Contracts	Between 38-45 days	1.00		Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts

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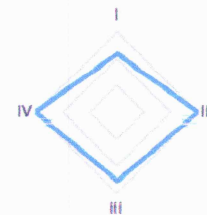
Name of Evaluator: ADHOC  
 Position: ADHOC

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation)
		Average III	2.54		
<b>PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM</b>					
<b>Indicator 13. Observer Participation in Public Bidding</b>					
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Fully Compliant	3.00		Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
<b>Indicator 14. Internal and External Audit of Procurement Activities</b>					
14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Fully Compliant	3.00		Verify copy of Order or show actual organizational chart showing IAU, audit reports, action plans and IAU recommendations
14.b	Audit Reports on procurement related transactions	Above 90-100% compliance	3.00		Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
<b>Indicator 15. Capacity to Handle Procurement Related Complaints</b>					
15.a	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00		Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting measures to address procurement-related complaints
<b>Indicator 16. Anti-Corruption Programs Related to Procurement</b>					
16.a	Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	3.00		Verify documentation of anti-corruption program
		Average IV	3.00		
<b>GRAND TOTAL (Average I + Average II + Average III + Average IV / 4)</b>			<b>2.68</b>		

**Summary of APCPI Scores by Pillar**

APCPI Pillars	Ideal Rating	Agency Rating
I Legislative and Regulatory Framework	3.00	2.18
II Agency Insitutional Framework and Management Capacity	3.00	3.00
III Procurement Operations and Market Practices	3.00	2.54
IV Integrity and Transparency of Agency Procurement Systems	3.00	3.00
<b>Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4</b>	<b>3.00</b>	<b>2.68</b>

**Agency Rating**



**ANNEX B  
GOVERNMENT PROCUREMENT POLICY BOARD  
CONSOLIDATED PROCUREMENT MONITORING REPORT**

Name of Agency : PHILIPPINE CHILDREN'S MEDICAL CENTER

Period Covered : CY 2020

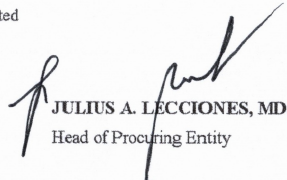
	TOTAL AMOUNT OF APPROVED APP	TOTAL NUMBER OF PROCUREMENT ACTIVITIES	NO. OF CONTRACTS AWARDED	TOTAL AMOUNT OF CONTRACTS AWARDED	NO OF FAILED BIDDINGS	TOTAL NO. OF ENTITIES WHO ACQUIRED BID DOCS	TOTAL NO. OF BIDDERS WHO SUBMITTED BIDS	TOTAL NO. OF BIDDERS WHO PASSED ELIGIBILITY STAGE	NO. OF BID OPPORTUNITIES POSTED AT PHILGEPS	NO. OF CONTRACT AWARDED POSTED AT PHILGEPS	TOTAL NO. OF CONTRACTS THAT INCURRED NEGATIVE SLIPPAGE	TOTAL NO. OF CONTRACTS WITH AMENDMENTS TO ORDER OR VARIATION ORDERS	NO. OF CONTRACTS AWARDED WITHIN PRESCRIBED TIMEFRAMES
Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
<b>1. PUBLIC BIDDING*</b>													
1.1. Goods	365,108,002.63	2,250	958	320,319,577.35	1,278	23,670	22,592	22,484	2,250	958			952
1.2. Works	13,460,264.00	1	1	13,419,429.88		2	2	2	1	1			1
1.3. Consulting Services	6,000,000.00	1	1	5,959,543.33		1	1	1	1	1			1
<b>Sub-Total</b>	<b>384,568,266.63</b>	<b>2,252</b>	<b>960</b>	<b>339,698,550.56</b>	<b>1,278</b>	<b>23,673</b>	<b>22,595</b>	<b>22,487</b>	<b>2,252</b>	<b>960</b>	<b>0.00</b>	<b>0.00</b>	<b>954</b>
<b>2. ALTERNATIVE MODES</b>													
2.1.1. Shopping (52.1 a above 50K)													
2.1.2. Shopping (52.1 b above 50K)	19,478,100.84	4	4	17,135,702.13					4	4			
2.1.3. Other Shopping	634,008.21	226	226	560,686.70									
2.2.1. Direct Contracting (above 50K)	10,868,119.20	19	19	9,767,407.60						19			
2.2.2. Direct Contracting (50K or less)	344,373.60	16	16	358,699.60									
2.3.1. Repeat Order (above 50K)													
2.3.2. Repeat Order (50K or less)													
2.4. Limited Source Bidding													
2.5.1. Negotiation (Common-Use Supplies)	5,329,933.10	307	307	5,023,005.75									
2.5.2. Negotiation (Recognized Government Printers)													
2.5.3. Negotiation (TFB 53.1)	2,823,294.60	200	10	2,693,666.00					200	10			
2.5.4. Negotiation (SVP 53.9 above 50K)	22,928,434.40	127	127	20,441,563.19					127	127			
2.5.5. Other Negotiated Procurement (Others above 50K)	59,455,810.50	154	154	55,726,335.86						154			
2.5.6. Other Negotiated Procurement (50K or less)	13,838,695.69	1,164	1,164	11,979,636.99									
<b>Sub-Total</b>	<b>135,700,770.14</b>	<b>2,217</b>	<b>2,027</b>	<b>123,686,703.81</b>					<b>331</b>	<b>314</b>			
<b>3. FOREIGN FUNDED PROCUREMENT**</b>													
3.1. Publicly-Bid	0.00	0	0	0.00		0	0	0					
3.2. Alternative Modes	0.00	0	0	0.00		0	0	0					
<b>Sub-Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0.00</b>									
<b>4. OTHERS, SPECIFY :</b>													
<b>TOTAL</b>	<b>520,269,036.77</b>	<b>4,469.00</b>	<b>2,987.00</b>	<b>339,700,577.56</b>									

\* Should include foreign-funded publicly-bid projects per procurement type

\*\* All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be BSP rates at the time the bids/quotations were submitted

  
ZENAIDA Y. TALAGTAG  
OIC, Procurement Division

  
MARIA ROSARIO S. CRUZ, MD  
Chairperson, BAC

  
JULIUS A. LECCIONES, MD, PhD, DPA, CESO III  
Head of Procuring Entity

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
QUESTIONNAIRE

Name of Agency: PHILIPPINE CHILDREN'S MEDICAL CENTER  
Name of Respondent: ZENAIDA V. TALAGTAG

Date: March 24, 2021  
Position: SUPERVISIING ADMIN. OFFICER

*Instruction: Put a check (✓) mark inside the box beside each condition/requirement met as provided below and then fill in the corresponding blanks according to what is asked. Please note that all questions must be answered completely.*

1. Do you have an approved APP that includes all types of procurement, given the following conditions? (5a)

- Agency prepares APP using the prescribed format
- Approved APP is posted at the Procuring Entity's Website  
please provide link: www.pcmc.gov.ph
- Submission of the approved APP to the GPPB within the prescribed deadline  
please provide submission date: January 31 2020 September 29 2020

2. Do you prepare an Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procure your Common-Use Supplies and Equipment from the Procurement Service? (5b)

- Agency prepares APP-CSE using prescribed format
- Submission of the APP-CSE within the period prescribed by the Department of Budget and Management in its Guidelines for the Preparation of Annual Budget Execution Plans issued annually  
please provide submission date: October 11, 2019
- Proof of actual procurement of Common-Use Supplies and Equipment from DBM-PS

3. In the conduct of procurement activities using Repeat Order, which of these conditions is/are met? (2e)

- Original contract awarded through competitive bidding
- The goods under the original contract must be quantifiable, divisible and consisting of at least four (4) units per item
- The unit price is the same or lower than the original contract awarded through competitive bidding which is advantageous to the government after price verification
- The quantity of each item in the original contract should not exceed 25%
- Modality was used within 6 months from the contract effectivity date stated in the NTP arising from the original contract, provided that there has been a partial delivery, inspection and acceptance of the goods within the same period

4. In the conduct of procurement activities using Limited Source Bidding (LSB), which of these conditions is/are met? (2f)

- Upon recommendation by the BAC, the HOPE issues a Certification resorting to LSB as the proper modality
- Preparation and Issuance of a List of Pre-Selected Suppliers/Consultants by the PE or an identified relevant government authority
- Transmittal of the Pre-Selected List by the HOPE to the GPPB
- Within 7cd from the receipt of the acknowledgement letter of the list by the GPPB, the PE posts the procurement opportunity at the PhilGEPS website, agency website, if available and at any conspicuous place within the agency

5. In giving your prospective bidders sufficient period to prepare their bids, which of these conditions is/are met? (3d)

- Bidding documents are available at the time of advertisement/posting at the PhilGEPS website or Agency website;
- Supplemental bid bulletins are issued at least seven (7) calendar days before bid opening;

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Minutes of pre-bid conference are readily available within five (5) days.

6. Do you prepare proper and effective procurement documentation and technical specifications/requirements, given the following conditions? (3e)

- The end-user submits final, approved and complete Purchase Requests, Terms of Reference, and other documents based on relevant characteristics, functionality and/or performance requirements, as required by the procurement office prior to the commencement of the procurement activity
- No reference to brand names, except for items/parts that are compatible with the existing fleet or equipment
- Bidding Documents and Requests for Proposal/Quotation are posted at the PhilGEPS website, Agency website, if applicable, and in conspicuous places

7. In creating your BAC and BAC Secretariat which of these conditions is/are present?

For BAC: (4a)

- Office Order creating the Bids and Awards Committee  
please provide Office Order No.: MCO 698 s. 2019 MCO 212 s. 2020 mco 235 s. 2020 mco 271 s. 2020
- There are at least five (5) members of the BAC  
please provide members and their respective training dates:
 

Name/s	Date of RA 9184-related training
A. <u>Maria Rosario S. Cruz, MD</u>	<u>October 21 to 23, 2020</u>
B. <u>Antonio F. Domasian Jr.,</u>	<u>October 21 to 23, 2020 (latest)</u>
C. <u>Emma A. Mariano, CPA</u>	<u>October 21 to 23, 2020 (latest)</u>
D. <u>Maria Cristina Estrelin Natonton, RN</u>	<u>October 21 to 23, 2020 (latest)</u>
E. <u>Ellen D. Teves, MHSA</u>	<u>October 21 to 23, 2020 (latest)</u>
F. <u>Remedios O. Salonga, RN</u>	<u>October 21 to 23, 2020 (latest)</u>
G. _____	_____
- Members of BAC meet qualifications
- Majority of the members of BAC are trained on R.A. 9184

For BAC Secretariat: (4b)

- Office Order creating of Bids and Awards Committee Secretariat or designing Procurement Unit to act as BAC Secretariat  
please provide Office Order No.: MCO 53 s. 2005 and appointments
- The Head of the BAC Secretariat meets the minimum qualifications  
please provide name of BAC Sec Head: Zenaida V. Talagtag, MGM-ESP
- Majority of the members of BAC Secretariat are trained on R.A. 9184  
please provide training date: October 21 to 23, 2020 (latest)

8. Have you conducted any procurement activities on any of the following? (5c)

If YES, please mark at least one (1) then, answer the question below.

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Computer Monitors, Desktop Computers and Laptops | <input checked="" type="checkbox"/> Paints and Varnishes                  |
| <input checked="" type="checkbox"/> Air Conditioners                                 | <input checked="" type="checkbox"/> Food and Catering Services            |
| <input checked="" type="checkbox"/> Vehicles   | <input checked="" type="checkbox"/> Training Facilities / Hotels / Venues |
| <input checked="" type="checkbox"/> Fridges and Freezers                             | <input checked="" type="checkbox"/> Toilets and Urinals                   |
| <input checked="" type="checkbox"/> Copiers  | <input checked="" type="checkbox"/> Textiles / Uniforms and Work Clothes  |

Do you use green technical specifications for the procurement activity/ies of the non-CSE item/s?

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Yes

No

9. In determining whether you provide up-to-date procurement information easily accessible at no cost, which of these conditions is/are met? (7a)

Agency has a working website  
please provide link: www.pcmc.gov.ph

Procurement information is up-to-date

Information is easily accessible at no cost

10. In complying with the preparation, posting and submission of your agency's Procurement Monitoring Report, which of these conditions is/are met? (7b)

Agency prepares the PMRs

PMRs are promptly submitted to the GPPB  
please provide submission dates: 1st Sem - September 30, 2020 2nd Sem - March 31, 2021

PMRs are posted in the agency website  
please provide link: www.pcmc.gov.ph

PMRs are prepared using the prescribed format

11. In planning of procurement activities to achieve desired contract outcomes and objectives within the target/allotted timeframe, which of these conditions is/are met? (8c)

There is an established procedure for needs analysis and/or market research

There is a system to monitor timely delivery of goods, works, and consulting services

Agency complies with the thresholds prescribed for amendment to order, variation orders, and contract extensions, if any, in competitively bid contracts

12. In evaluating the performance of your procurement personnel, which of these conditions is/are present? (10a)

Personnel roles, duties and responsibilities involving procurement are included in their individual performance commitment/s

Procuring entity communicates standards of evaluation to procurement personnel

Procuring entity and procurement personnel acts on the results and takes corresponding action

13. Which of the following procurement personnel have participated in any procurement training and/or professionalization program within the past three (3) years? (10b)

Date of most recent training: October 21, 2020

Head of Procuring Entity (HOPE)

Bids and Awards Committee (BAC)

BAC Secretariat/ Procurement/ Supply Unit

BAC Technical Working Group

End-user Unit/s

Other staff

14. Which of the following is/are practised in order to ensure the private sector access to the procurement opportunities of the procuring entity? (10c)

Forum, dialogues, meetings and the like (apart from pre-bid conferences) are conducted for all prospective bidders at least once a year



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- The PE promptly responds to all interested prospective bidders' inquiries and concerns, with available facilities and various communication channels

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
QUESTIONNAIRE

15. In determining whether the BAC Secretariat has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11a)

- There is a list of procurement related documents that are maintained for a period of at least five years
- The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
- The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

16. In determining whether the Implementing Units has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11b)

- There is a list of contract management related documents that are maintained for a period of at least five years
- The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
- The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

17. In determining if the agency has defined procedures or standards for quality control, acceptance and inspection of goods, works and services, which of these conditions is/are present? (12a)

- Agency has written procedures for quality control, acceptance and inspection of goods, services and works

Have you procured Infrastructure projects through any mode of procurement for the past year?

- Yes  No

If YES, please answer the following:

- Supervision of civil works is carried out by qualified construction supervisors  
Name of Civil Works Supervisor: Engr. Ronnie Aurelio, Engr. Ruel Maratas, Engr. Daniel David
- Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only)  
Name of CPES Evaluator: Engr. Ronnie Aurelio, Engr. Ruel Maratas, Engr. Daniel David

18. How long will it take for your agency to release the final payment to your supplier/service provider or contractor/consultant, once documents are complete? (12b) 40 days

19. When inviting Observers for the following procurement activities, which of these conditions is/are met? (13a)

- A. Eligibility Checking (For Consulting Services Only)
  - B. Shortlisting (For Consulting Services Only)
  - C. Pre-bid conference
  - D. Preliminary examination of bids
  - E. Bid evaluation
  - F. Post-qualification
- Observers are invited to attend stages of procurement as prescribed in the IRR
  - Observers are allowed access to and be provided documents, free of charge, as stated in the IRR
  - Observer reports, if any, are promptly acted upon by the procuring entity

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
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20. In creating and operating your Internal Audit Unit (IAU) that performs specialized procurement audits, which set of conditions were present? (14a)

- Creation of Internal Audit Unit (IAU) in the agency  
Agency Order/DBM Approval of IAU position/s: Internal Restructuring Program approved by DBM Mar 20
- Conduct of audit of procurement processes and transactions by the IAU within the last three years
- Internal audit recommendations on procurement-related matters are implemented within 6 months of the submission of the internal auditor's report

21. Are COA recommendations responded to or implemented within six months of the submission of the auditors' report? (14b)

- Yes (percentage of COA recommendations responded to or implemented within six months)  
\_\_\_\_\_ %
- No procurement related recommendations received

22. In determining whether the Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements, which of conditions is/are present? (15a)

- The HOPE resolved Protests within seven (7) calendar days per Section 55 of the IRR
- The BAC resolved Requests for Reconsideration within seven (7) calendar days per Section 55 of the IRR
- Procuring entity acts upon and adopts specific measures to address procurement-related complaints, referrals, subpoenas by the Omb, COA, GPPB or any quasi-judicial/quasi-administrative body

23. In determining whether agency has a specific anti-corruption program/s related to procurement, which of these conditions is/are present? (16a)

- Agency has a specific office responsible for the implementation of good governance programs
- Agency implements a specific good governance program including anti-corruption and integrity development
- Agency implements specific policies and procedures in place for detection and prevention of corruption

**Annex D**

**PROCUREMENT CAPACITY DEVELOPMENT ACTION PLAN TEMPLATE**

Name of Agency: **PHILIPPINE CHILDREN'S MEDICAL CENTER**

Period: **CY 2020**

<b>Sub-Indicators</b>	<b>Key Area for Development</b>	<b>Proposed Actions to Address Key Areas</b>	<b>Responsible Entity</b>	<b>Timetable</b>	<b>Resources Needed</b>
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	In the preparation of PPMP for CY 2022, the Budget Review Committee in collaboration with the BAC and BAC Sec should double check the recommended mode	End-user / Budget Review Committee	CY 2021	Procurement Monitoring System /
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	and reconsider the public bidding as mode of procurement for all items where source is already identified thru the existing Procurement Monitoring System	BAC		Procurement Status Report /
2.a	Percentage of shopping contracts in terms of amount of total procurement	/ Procurement Status Report/ Supply and Inventory Management System	BAC Sec		Supply and Inventory Management System
2.b	Percentage of negotiated contracts in terms of amount of total procurement	↓	↓	↓	↓
2.c	Percentage of direct contracting in terms of amount of total procurement	↓	↓	↓	↓
2.d	Percentage of repeat order contracts in terms of amount of total procurement				
2.e	Compliance with Repeat Order procedures				
2.f	Compliance with Limited Source Bidding procedures				
3.a	Average number of entities who acquired bidding documents				
3.b	Average number of bidders who submitted bids				
3.c	Average number of bidders who passed eligibility stage				
3.d	Sufficiency of period to prepare bids				
3.e	Use of proper and effective procurement documentation and technical specifications/requirements				
4.a	Creation of Bids and Awards Committee(s)				
4.b	Presence of a BAC Secretariat or Procurement Unit				

5.a	An approved APP that includes all types of procurement				
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service				
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted				
6.a	Percentage of bid opportunities posted by the PhilGEPS-registered Agency				
6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency				
6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency				
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost				
7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website				
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs				
8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	On top of posting to PhilGEPS, the complete bid documents on the 1st day of advertisement, the BAC Secretariat guided by the previous results of bidding shall e-mail to all identified prospective bidders and to those prospective bidders who joined the pre-bid conference the bid documents so they can prepare ahead of time.	BAC Sec	Every bidding	Internet, Data base of suppliers, Attendance in Pre-Bid Conference and PhilGEPS Website
8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe				
9.a	Percentage of contracts awarded within prescribed period of action to procure goods	<b>JUSTIFICATION : These were projects whose activities were affected by the COVID 19 pandemic</b>			
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects				
9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services				
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis				
10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program				

10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity				
11.a	The BAC Secretariat has a system for keeping and maintaining procurement records				
11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records				
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance				
12.b	Timely Payment of Procurement Contracts	Will specifically mention during the pre-bid all the required documents needed upon delivery of goods, so that supplier can deliver them together with the goods thus payment can be acted upon immediately	MMD, BAC, Finance Dept.	Every bidding	
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR				
14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits				
14.b	Audit Reports on procurement related transactions				
15.a	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements				
16.a	Agency has a specific anti-corruption program/s related to procurement				