

Philippine Children's Medical Center Institutional Research – Ethics Committee (IR-EC) SOP 12.0 Management of Resubmission

Effective Date: JUN 25 2021

PCMC IR-EC SOP 12.0: Management of Resubmission

Supersedes:	PCMC IRB-EC SOP 01.0, V. 3.0
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Effective Date:	
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12.0 MANAGEMENT OF RESUBMISSION

12.1 Policy Statement

Submission of the recommended revisions shall be accepted within 15 working days after receipt of decision letter. The IR-EC shall classify protocol resubmissions beyond the deadline as inactive, hence, shall require reapplication.

12.2 Purpose of the Activity

To ensure efficiency of IR-EC actions and orderliness in the protocol files.

12.3 Scope

This SOP includes the procedures for the management of resubmission of protocols that begins from the receipt of the resubmitted protocol package and ends with preparation of protocol folder.

12.4 Process Flow/Steps for Management of Resubmission

NO.	ACTIVITY	PERSON/S RESPONSIBLE
1	Receipt of the Resubmitted Protocol Package	IR-EC Secretariat
2	Distribution of Protocol Documents to Primary Reviewers	IR-EC Secretariat
3	Review of Resubmitted Protocol Documents	Primary Reviewers
4	Full Review of Resubmitted Protocols with Major Modifications	IR-EC Secretariat
5	Notify PI of IR-EC Decision	IR-EC Secretariat
6	Preparation of Protocol Folder	IR-EC Secretariat

12.5 Detailed Instructions

12.5.1 Receipt of the Resubmitted Protocol Package

- **12.5.1.1** The PI shall fill up and submit the Protocol Resubmission Form (Form 12.1) with the appropriate documents.
- **12.5.1.2** The IR-EC Secretariat shall receive the resubmitted protocol documents from the PI.



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12.5.2 Distribution of Protocol Documents to Primary Reviewers

- 12.5.2.1 The IR-EC Secretariat shall send the package to the designated Primary Reviewers
- **12.5.2.2** The Secretariat shall log the protocol documents in the Log for Outgoing Documents.

12.5.3 Review of Resubmitted Protocol Documents

12.5.3.1 The Primary Reviewers shall review resubmitted protocol with minor protocol revisions and assess compliance with the requirements for revision.

12.5.4 Full Review of Resubmitted Protocols with Major Modifications

- 12.5.4.1 The Primary Reviewers shall present protocols with major modifications during a full board meeting and shall make a recommendation for approval.
- **12.5.4.2** The IR-EC Members shall vote to endorse or not to endorse the recommendation for approval.

12.5.5 Notify PI of IR-EC Decision

- **12.5.5.1** For approved resubmitted protocols, the IR-EC Secretariat shall prepare the Decision Letter and Notice of Research Protocol Approval (Forms 27.1 and 10.3) to be signed by the Chair.
- 12.5.5.2 The IR-EC decision shall be communicated to the PI.

12.5.6 Preparation of Protocol Folder

- **12.5.6.1** The IR-EC Secretariat shall file copies of the approved documents in the protocol file folder.
- **12.5.6.2** The IR-EC Secretariat shall update the protocol file index of the protocol file folder.
- **12.5.6.3** The IR-EC Secretariat shall update the protocol database.

12.6 Forms

Form 10.3 V.3.0 Notice of Research Protocol Approval

Form 12.1 V.1.0 Protocol Resubmission Form

Form 27.1 V.3.0 Decision Letter of IR-EC